



Program Name:

Date Risk Assessment Completed:

Fiscal

Question	Categories	Points	Notes/Evidence
Is the subgrantee's current Fiscal Year allocation more than \$1,000,000 (including both federal and state dollars)	Yes	1	
	No	0	
If the subgrantee received more than \$750,000 of federal dollars in the past fiscal year, did it receive a single audit in accordance with 2 CFR § 200.501?	Yes	0	
	No	1	
	Not applicable	0	
In its most recent available audit, has the subgrantee had any audit findings* related to or that may impact its Title II AEFLA funds or associated state dollars? <i>* Please note these audit findings could be related to a single audit in accordance with 2 CFR § 200.501, an Office of Adult Education fiscal audit, or any other independent audit.</i>	Yes	1	
	No	0	
In the prior fiscal year, did the subgrantee expend between 40% to 60% of its funds by mid-year [January 1]?	Yes	0	
	No	1	
Has OAE conducted a fiscal audit within the past three years?	Yes	0	
	No	1	

Programmatic & Performance

Question	Categories	Points	Notes
Has OAE monitored the program within the last three fiscal years?	Yes	0	
	No	1	
Has the Program Administrator been in his or her role <i>at the current program</i> for more than two fiscal years?	Yes	0	
	No	1	
Has the subgrantee been placed on a Performance Improvement Plan (PIP) within the last two fiscal years?	Yes	1	
	No	0	
Has the subgrantee been placed on a Corrective Action Plan (CAP) within the past two fiscal years?	Yes	1	
	No	0	
Was the subgrantee's FY20 MSG% <u>no more than five percentage points</u> below the FY20 state MSG total in one or more of its program areas (ABE/ESL)?	Yes	0	
	No	1	
In the prior fiscal year, did the program have fewer than 200 students enrolled?	Yes	1	
	No	0	
In the past fiscal year, did the program have any compliance violations that required OAE to implement any remedies of noncompliance in accordance with 2 CFR § 200.338?	Yes	1	
	No	0	

Scoring

Total Points Possible: (excluding any questions where the subrecipient received a n/a marking)	11
Total Points Received:	
Percentage:	0.00%
Risk Category	