

PY20 Monitoring Webinar: Overview and Compliance Team Updates

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Presented by:



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Introduction of Compliance Team



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Compliance Team Updates- Agenda

- What is Monitoring & Why We Do It
- Format & Process Changes for PY20 Monitoring
- Changes to Monitoring Tools



What is Monitoring & Why We Do It

- Integral part of OWD's oversight responsibilities required by law
- Identify areas of concern
- Highlight best practices
- Guide technical assistance and training offerings

- Annual process conducted by three teams: Compliance, Finance, Programs
 - Risk assessment
 - Data Request & Sample Selection
 - Desk Review/Monitoring Visit
 - Corrective Action Period

Format & Process Changes for PY20 Monitoring

- Added National Dislocated Worker Grant review
 - Programs & Finance to evaluate
- Disallowed Cost process
- Data Request & Document Submission
- Virtual Entrance/Exit & On-Site Days
 - Monday: Virtual entrance conference
 - Tuesday: On-site
 - Wednesday: On-site
 - Thursday: (possibly on-site)
 - Friday: Virtual exit conference



Compliance Team Updates

➤ Tool Changes

- Internal Controls
 - Financial questions will be evaluated by Grants team to avoid duplicative questions
 - Internal Controls Questionnaire updated to reflect tool changes
- MOU/IFA
 - No updates to tool, but eliminated MOU questionnaire
- Equal Opportunity
 - No substantive updates



Compliance Team Updates

➤ Tool Changes

- LWDB Review
 - New focus on LWDB engagement, general order & flow of meetings, role of LWDB Chair, etc.
 - Added interviews: LWDB liaison, LWDB Chair, or LWDB member(s)
- LEO Board Review
 - New question for verifying CLEO elections, if changes occurred



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Thank you!

